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'ISOTHERMAL' DENSITY PERTURBATIONS IN AN AXION-DOMINATED INFLATIONARY UNIVERSE

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## Abstract

In inflationary models of the Universe which are axion-dominated both adiabatic and isothermal density perturbations arise. We point out that the isothermal perturbations can be more important than the adiabatic perturbations and discuss a model for which this is the case. With isothermal perturbations the spectrum of density perturbations when structure formation begins is flatter and we briefly discuss the implications of this fact. That the amplitude of isothermal fluctuations not be too large provides yet another constraint on models of inflation.

## Introduction

The hot big bang model provides a general picture of how the observed structure in the Universe developed—small density inhomogeneities present early on grew via the Jeans instability into the highly nonlinear structures we see today. A more detailed picture of this process requires knowledge of the appropriate 'initial data' for this problem: the quantity and composition of the matter in the Universe today; and the type and spectrum of density perturbations present initially.

The study of the very early Universe has given us some 'important clues' as to what the initial data might be. For example, the inflationary scenario<sup>2-4</sup> predicts  $\Omega(\equiv \rho/\rho_{\rm crit})=1.0$  and the Harrison-Zel'dovich<sup>5</sup> spectrum of adiabatic density perturbations<sup>6</sup>; primordial nucleosynthesis constrains  $\Omega_{\rm baryon}$  to be  $\le 0.15$ , suggesting that the bulk of the matter in the Universe is non-baryonic; baryogenesis all but precludes the existence of 'isothermal'

perturbations in the baryon component, i.e., spatial fluctuations in the baryon-to-photon ratio; and finally, there are numerous species which are candidates for the 'dark matter', including the invisible axion.

In the case of an axion-dominated Universe, 12 inflation also existence of isothermal<sup>13,14</sup> (more precisely, the predicts isocurvature1) axion density perturbations. Physically, very early on these perturbations correspond to local variations in the number density of axions, but not in the total energy density of the Universe. realistic inflationary models these isothermal perturbations were believed to be significantly less important than their adiabatic counterparts. 13,14 In this paper we show that they need not be subdominant in models where the Peccei-Quinn (PQ) symmetry breaks before or during inflation. [In order for this to occur, both the reheat temperature,  $T_{PH}$ , and the expansion rate during inflation must be less than the temperature at which the PQ symmetry is restored.] As an example, we carefully calculate both the adiabatic and isothermal spectrum for Pi's inflationary scenario15 and show that isothermal fluctuations actually dominate. If isothermal axion perturbations dominate the adiabatic perturbations and have the correct amplitude, they will determine how structure formation proceeds. We briefly comment on the differences in how structure formation proceeds in the case of isothermal axion perturbations. Finally we emphasize that the amplitude of the isothermal axion perturbations places a new constraint on models of inflation.

## Isothermal Axion Perturbations

Let  $\[Tilde{\phi} = \phi e^{i\theta}\]$  be the complex scalar field whose vacuum expectation value,  $\[Tilde{\phi} = f_a\]$ , spontaneously breaks the Peccei-Quinn U(1) symmetry. The axion,  $\[Tilde{a} = f_a\]$  a, is the Nambu-Goldstone boson associated with the spontaneous breaking of the global U(1) symmetry and corresponds to the  $\[Tilde{\theta} = f_a\]$  at high temperatures, i.e., from PQ symmetry breaking,  $\[Tilde{T} = 0(f_a)\]$ , to  $\[Tilde{T} = 0(\Lambda_{QCD})\]$ , the axion is very nearly massless--corresponding to V( $\[Tilde{\phi}\]$ ) being flat in the  $\[Tilde{\theta} = f_{QCD}\]$  direction. At temperatures below  $\[Tilde{O}(\Lambda_{QCD})\]$ , SU(3) instanton effects break the U(1) $\[Tilde{P}\]$  giving rise to minima in the potential at  $\[Tilde{\theta}\]$ 0 =  $\[Tilde{\theta}\]$ 0 =  $\[Tilde{G}\]$ 0, where  $\[Tilde{\theta}\]$ 0 is defined in the bare QCD Lagrangian

$$\int_{QCD} = \dots + \frac{\theta_{QCD}}{32\pi g^2} G^{a\mu\nu} \tilde{G}_{a\mu\nu} ,$$

n = 0,1,2,...,N and N is a positive integer whose value depends upon the Peccei-Quinn charges of the quarks; for the simplest models N=6. [Both PQ symmetry breaking and instanton effects leave a  $Z_N$  symmetry unbroken. When  $\theta$  is anchored in a minimum of the potential the effective Lagrangian is CP-conserving. Throughout this paper we will take  $\theta$  to be the deviation from  $\theta_0$ .

At the time of PQ symmetry breaking no particular value of  $\theta$  is singled-out; thus when the instanton effects lead to the axion developing a potential whose minimum is at  $\theta$  = 0, the initial value of  $\theta$ ,  $\theta_i$ , will in general be misaligned:  $\theta_i \neq 0$ . Due to this initial misalignment the axion field will eventually begin to oscillate. The energy density associated with these coherent field oscillations behaves

like non-relativistic matter, a condensate of very-cold axions, and contributes a mass density today14

$$\Omega_{a} \approx 1.5\alpha (f_{a}/10^{13} \text{GeV})^{1.22} \theta_{1}^{2},$$

$$(f_{a} \leq 5\Lambda_{200}^{-2.4} \times 10^{17} \text{ GeV})$$

$$\approx 2.2 \times 10^{6} \beta (f_{a}/10^{18} \text{GeV})^{1.5} \theta_{1}^{2},$$

$$(f_{a} \geq 5\Lambda_{200}^{-2.4} \times 10^{17} \text{ GeV})$$
(1)

where  $\alpha = T_{2.7}^{-3} Nh^{-2} \gamma^{-1} \Lambda_{200}^{-2} \beta^{-1}$  and  $\beta = T_{2.7}^{-3} N^{1/2} h^{-2} \gamma^{-1}$  are numerical factors of order unity,  $\Omega_{a} = \rho_{a}/\rho_{crit}$  is the fraction of critical density contributed by axions today,  $\rho_{crit} = 1.88 \times 10^{-29} h^{2} \text{gcm}^{-3}$  is the critical density,  $H_{o} = 100 h \text{ km sec}^{-1} \text{Mpc}^{-1}$  is the present value of the Hubble parameter (1/2  $\leq h \leq 1$ ),  $\Lambda_{QCD} = \Lambda_{200}$  200 MeV,  $T_{2.7}$ 2.7K is the present temperature of the microwave background radiation,  $\theta_{1}$  is the RMS value of  $\theta_{1}(x)$ , and  $\gamma$  is the ratio of the entropy per comoving volume today to that when  $T = \Lambda_{QCD}$ . [ $\gamma$  measures the entropy production since the coherent axion oscillations began. Any entropy production since then dilutes the axions and reduces  $\Omega_{a}$ ; see ref. 14 for details.]

In the absence of dynamics to specify  $\theta_1$ , it has generally been assumed that  $\theta_1$  is of order unity. [More precisely, for N±1,  $-\pi/N \le \theta_1 \le \pi/N$ .] As we will be restricting our analysis to inflationary models, we will adopt the point-of-view advocated by Pi<sup>15</sup>--that  $\theta_1$  takes on the value required to have  $\Omega_a = 1$ . The rationale being that  $\theta_1$  takes on different values in different bubbles (or fluctuation regions) so that all values of  $\theta_1$  occur in some finite fraction of the bubbles. Then, according to this point-of-view, determining  $f_a$ ,  $H_o$ ,  $T_{2.7}$ , and Y serves to measure  $\theta_1$ . Adopting this philosophy we can use Eqn. (1) to solve for  $\theta_1$ :

$$\theta_1 = 0.81 \,\alpha^{-1/2} (f_a/10^{13} \text{GeV})^{-0.61}, \qquad (f_a \le 5\Lambda_{200}^{-2.4} \times 10^{17} \,\text{GeV})$$

$$\approx 6.7 \times 10^{-4} \,\beta^{-1/2} (f_a/10^{18} \,\text{GeV})^{-0.75}, \qquad (f_a \ge 5\Lambda_{200}^{-2.4} \times 10^{17} \,\text{GeV})$$

Inflation ensures that  $\theta_1(x)$  is nearly constant over the whole of our observable Universe. However, it is well known that quantum fluctuations are induced in scalar fields by de Sitter expansion. As a result there will be spatial fluctuations in the misalignment angle,  $\theta_1(x) = \theta_1 + \delta\theta(x)$ , which will manifest themselves as isothermal axion density perturbations when  $T = \Lambda_{OCD}$ .

In order to discuss the axion density perturbations quantitatively it is convenient to Fourier expand  $\delta\theta(x) \equiv (\theta_{1} - \theta_{1})/\theta_{1}$ , the fractional fluctuation in  $\theta_{i}$ :

$$\delta_{\theta}(x) = (2\pi)^{-3} \int d^3k \ \delta_{\theta}(k) \ e^{-ikx} ,$$

$$\delta_{\theta}(k) = \int d^3x \, \delta_{\theta}(x) \, e^{ikx}$$
,

where k is the comoving wavenumber,  $x_i$  (i=1-3) are comoving coordinates, and we have normalized to unit comoving volume. At low temperatures (T <<  $\Lambda_{QCD}$ ), the local mass density in axions  $\rho_a(x) \propto \theta^2(x)$ . Since  $\delta_{\theta}(x)$  is small,

$$\delta_a(x) = \rho_a(x)/\bar{\rho}_a$$
,

= 
$$2 \delta_{\theta}(x)$$
,

and

$$\delta_a(k) = \int d^3x \, \delta_a(x)e^{ikx}$$
,
$$= 2 \, \delta_a(k)$$
.

A useful quantity for studying the formation of structure is the RMS mass fluctuation (or power) on the scale k (usually referred to as  $^{\dagger}\delta\rho/\rho$  on the scale k')

$$\langle (\delta M_a/M_a)^2 \rangle_k \simeq \Delta_k^2 = (2\pi)^{-3} k^3 |\delta_a(k)|^2$$
  
 $\simeq 4(2\pi)^{-3} k^3 |\delta_a(k)|^2$ , (3)

where  $M_a$  is the mass in axions associated with the scale k.  $^{18}$  When the RMS mass fluctuation on a given scale grows to order unity, we expect bound structures of this mass to start forming.

Now we will calculate  $\delta_{\theta}(k)$ . Recall that we are assuming that PQ symmetry breaking occurs before or during the inflationary phase. Because the potential  $V(\phi)$  is flat in the  $\theta$  direction, the axion degree of freedom behaves like a massless scalar field,  $a=\theta f_a$ . The spectrum of quantum fluctuations for a massless scalar field in a de Sitter background is given by  $\frac{1}{2}$ 

$$[a(k)]^2 = H^2/2k^3$$
,

where H is the Hubble parameter during inflation, and the cosmic scale factor R  $\propto$  exp(Ht). This result implies that

$$|\delta_{\theta}(k)|^2 = H^2/(2\theta_1^2 f_a^2 k^3)$$
, (4)

at the end of the inflationary epoch.

The classical equation of motion for  $\boldsymbol{\delta}_{\boldsymbol{\theta}}(\mathbf{k})$  is:

$$\ddot{\delta}_{\theta}(k) + (3H + 2\dot{\theta}_{1}/\theta_{1})\dot{\delta}_{\theta}(k) + k^{2}\delta_{\theta}(k)/R^{2} = 0.$$
 (5)

For modes whose physical wavelength ( $\frac{1}{2}$  R  $2\pi/k$ ) is larger than the horizon (=  $H^{-1}$ ), i.e., k/RH << 1, the solution to Eqn. (5) has  $\delta_{\theta}(k) \rightarrow 0$ . That means that the amplitude for mode k remains constant until it crosses back inside the horizon during the post-inflation era.<sup>19</sup>

Once inside the horizon axion fluctuations remain approximately constant until the Universe becomes axion-dominated [(T =  $6.8(\Omega_{\rm a}h^2/T_{2.7}^{3})$ eV, t =  $3\times10^{10}(\Omega_{\rm a}h^2/T_{2.7}^{3})^{-2}$  sec]. After this we must include gravitational effects in the evolution equation for  $\delta_{\theta}(k)$ . As a result the density fluctuations within the horizon will grow,  $\delta_{\theta}(k)$   $\propto$   $t^{2/3}$ , and structure begins to evolve.

From Eqns. (2-4) it then follows that the RMS mass fluctuation in isocurvature fluctuations is

$$\Delta_{iso} = H/(2\pi^{3/2} f_{gal} \theta_1)$$
 (6a)

= .11 
$$\alpha^{1/2}(H/f_a)(f_a/f_{gal})(f_a/10^{13}GeV)^{.61}$$
,  $(f_a \le 5\Lambda_{200}^{-2.4} \times 10^{17} GeV)$  (6b)

$$\approx 1.3 \times 10^{2} \beta^{1/2} (H/f_a) (f_a/f_{gal}) (f_a/10^{18} GeV) \cdot ^{75}, (f_a \ge 5 \Lambda_{200}^{-2.4} \times 10^{17} GeV)$$
(6c)

where  $f_{gal}$  is the value of  $\phi$  when the scales of astrophysical interest cross outside the horizon. For many models  $f_{gal} = f_a$ , however if PQ symmetry breaking occurs during inflation (as in Pi's model) then  $f_{gal}$  can be  $\langle f_a \rangle$ .

In order to be important for galaxy formation  $\Delta_{\rm iso}$  must be  $\simeq 10^{-4}$ . If rapid reheating occurs after inflation<sup>20</sup>, then  $T_{\rm RH}^{~2} \simeq {\rm Hm}_{\rm pl}$  and  $T_{\rm RH} < f_{\rm a}$  implies  ${\rm H/f_a} \le f_{\rm a}/{\rm m_{pl}}$ . Rapid reheating and  $f_{\rm a} \sim 10^{12} - 10^{13}$  GeV results in  $\Delta_{\rm iso} \sim 10^{-7}$ , a value which is too small to be of interest for galaxy formation<sup>13,14</sup>. However, from Eqns. (6a-c) we see that  $\Delta_{\rm iso} \simeq 10^{-4}$  is easily achieved by letting  $f_{\rm a}$  get larger than  $10^{13}$  GeV (which requires  $\theta_1$  to be small), or by letting  ${\rm H/f_a} \sim 10^{-3}$  (which implies slow reheating,  $T_{\rm RH}^{~2} << {\rm Hm}_{\rm pl}$ ). Here  $m_{\rm pl} \equiv G^{-1/2} \simeq 1.22 \times 10^{19}$  GeV.

For reference, the analogous amplitude of adiabatic perturbations  $^{\Delta}{\rm ad}~{\rm is}^{\rm 6,21}$ 

$$\Delta_{\text{ad}} \approx H^2/(\pi^{3/2}\psi) , \qquad (7)$$

where  $\psi$  is the scalar field which is evolving toward its symmetry breaking minimum, and whose vacuum energy is driving inflation (with kinetic term normalized to be: 1/2  $\partial_{\mu}\psi\partial^{\mu}\psi)$ .

# Axion Perturbations in Pi's Model

Shafi and Vilenkin<sup>22</sup> proposed a GUT model of inflation where the field which drives inflation is a very weakly-coupled, gauge singlet

scalar field with a potential of the Coleman-Weinberg form.<sup>23</sup> Pi<sup>15</sup> went one step further and used the scalar field which drives inflation to also break a PQ symmetry. Thus her model will have both adiabatic and isothermal axion perturbations and we will analyze them here.

In her model the 1-loop effective potential is given by

$$V = V_1 + V_2 , \qquad (8a)$$

$$V_1 = B[\phi^4 ln\{\phi^2/f_a^2\} + 1/2(f_a^4 - \phi^4)]/4,$$
 (8b)

and  $V_2$  describes the coupling of  $\phi$  to the SU(5)  $\stackrel{?}{24}$  whose vacuum expectation value is responsible for SU(5)  $\rightarrow$  SU(3)  $\times$  SU(2)  $\times$  U(1) symmetry breaking, but is not relevant for our purposes ( $V_2 << V_1$ ). B is determined by the self-coupling of  $\phi$  and its couplings to the other fields in the theory. The semi-classical equations of motion for  $\phi$  can be written as

$$\dot{\phi} + 3H\dot{\phi} - \phi\dot{\theta}^2 + 3V/3\phi = 0, \tag{9a}$$

$$\theta + (3H + 2\dot{\phi}/\phi)\dot{\theta} = 0, \tag{9b}$$

where as before  $\dot{\phi} = \phi \ e^{i\theta}$ , and  $H = 8\pi \ V(\phi)/(3m_{\rm pl}^{\ 2}) \simeq (\pi B/3)^{1/2} f_a^2/m_{\rm pl}$  is the Hubble constant during inflation. For simplicity in Eqn. (9a) we have left out the  $\Gamma \dot{\phi}$  term which accounts for the decay of the coherent field oscillations and the reheating of the Universe (see ref. 20).

During inflation, when  $\phi/\phi<$  H, the solution to Eqn. (9b) is:  $\dot{\theta}$   $\propto$  exp(-3Ht), implying that  $\theta$   $\simeq$  constant. During inflation the  $\phi$  and  $\phi\dot{\theta}^2$ 

terms can be neglected in the  $\phi$  equation of motion<sup>20</sup> so that

$$\phi = -V'/3H$$
,

$$= -B_{\phi}^{3} \ln(\phi^{2}/f_{a}^{2})/3H$$

whose solution is

$$\phi^{-2} = [2B\ln(f_a^2/\phi^2)/3H^2]H(t_*-t)$$
, (10)

$$\approx (2/\pi) \ln(f_a^2/\phi^2) (m_{pl}^2/f_a^4) H(t_*-t)$$
,

where the slow logarithmic variation of  $V_1$  has been ignored, and  $t_*$  is the time when  $\phi$  reaches its symmetry breaking minimum  $(\phi = f_a)$  and inflation ends. The scales of astrophysical interest cross the horizon or so e-folds before the end of inflation, i.e.,  $H(t_*-t) = 50$ . This means that

$$(f_{gal}/f_a) \approx \frac{f_a}{m_{pl}} \left[ \frac{\pi}{100 \ln(f_a^2/f_{gal}^2)} \right]^{1/2},$$
 (11)

and for  $f_a = 10^{18}$  GeV, the value required in Pi's model to give the correct SU(5) symmetry breaking scale,  $f_{\rm gal} = f_a/230$ . That is, the scales of interest cross outside the horizon when  $\phi$  is much less than  $f_a$ .

Having computed  $f_{\mbox{\sc gal}}$  we can use Eqns. (6,7) to calculate  $\Delta_{\mbox{\sc iso}}$  and  $\Delta_{\mbox{\sc ad}}$  for Pi's model

$$\Delta_{\rm iso} \approx 2600 \beta^{1/2} B^{1/2} f_{18}^{0.75}$$
, (12a)

$$\Delta_{\text{ad}} = 340B^{1/2} , \qquad (12b)$$

$$\Delta_{\rm iso}/\Delta_{\rm ad} \simeq 7.6 \, {\rm g}^{1/2} {\rm f}_{18}^{0.75}$$
, (12c)

where  $f_{18} = f_a/10^{18} \text{GeV}$  and we have taken  $\ln(f_a^2/f_{\text{GAL}}^2) \approx 11$ ,  $\underline{cf}$ . Eqn. (11). Note, that independent of B, for  $f_a \gtrsim 10^{18} \text{GeV}$  the isothermal axion perturbations are dominant. Normalizing  $\Delta_{iso}$  to be  $\approx \delta \times 10^{-4}$ , where  $\delta$  is of order unity, we can solve for B:

$$B \approx 1.5 \times 10^{-15} \delta^2 \beta^{-1} f_{18}^{-1.5}. \tag{13}$$

Note, the value of B chosen by Pi<sup>15</sup>, B =  $10^{-12}$ , would result in  $\Delta_{iso} = 3 \times 10^{-3} \text{ g}^{1/2} f_{18}^{.75}$ , which is almost certainly precluded by the isotropy of the microwave background (see below).

# Concluding Remarks

Given the spectrum of density perturbations at the beginning of the epoch of matter domination, one can, in principle, evolve the Universe forward to the present epoch by numerical simulation. To be phenomenologically acceptable, an initial spectrum must result in structure which is consistent with what we observe today, e.g. the galaxy-galaxy correlation function implies that  $\delta\rho/\rho$  is of order unity today on the scale of  $\lambda_c \approx 7h^{-1}{\rm Mpc}$ . The spectrum must also predict microwave anisotropies which are consistent with the measured isotropy on both large (>>1°) and small (<<1°) angular scales.  $^{24,25}$ 

In Fig. 1 we show the spectra of density perturbations (adiabatic26 and isothermal<sup>27</sup>) predicted in axion-dominated models at the time the Universe becomes matter-dominated. The two spectra have been normalized to have the same amplitude on the scale  $\lambda_c = 7h^{-1}$ Mpc. Several features are apparent. First, galaxies should form slightly later with an isothermal spectrum as  $k^{3/2} |\delta_a(k)|$  is a factor of 2 or so smaller on galactic scales in the isothermal case. 21 The isothermal spectrum is slightly flatter, which means that structures will form on a wide range of mass scales almost simultaneously. The most restrictive measurement of small scale anisotropy is that of Uson and Wilkinson24 on the scale of 4.5'  $(\delta T/T \leq 3\times10^{25})$ ; the predicted anisotropy on this scale is proportional to  $\delta \rho/\rho$  on the scale  $\lambda_{\mu,5} \approx 8.2 h^{-1} \text{Mpc}^{28}$ . Since this scale is so close to  $\lambda_{c}$ , the scale on which both spectra have been normalized, the predicted anisotropies should be very nearly equal. On the other hand, the predicted anisotropy on large angular scales, e.g., the quadrupole anisotropy, should be almost a factor of 10 larger in the isothermal case since  $(\delta \rho/\rho)_{iso} = 10(\delta \rho/\rho)_{ad}$  for  $\lambda >> \lambda_{eq}$ , the horizon scale at matter radiation equality. This may be problematic for the isothermal spectrum<sup>29</sup>, and certainly constrains  $\Delta_{i,so}$  to be less than  $10^{-3}$ .

In sum, following Pi's philosophy, we have emphasized that since we have no direct knowledge of  $\theta_1$ , the initial misalignment angle, measurements/knowledge of  $\Omega_a$ , h,  $T_{2.7}$ , and  $\gamma$  serve to determine  $\theta_1$  in terms of  $f_a$ , <u>cf.</u> Eqn. (2). This point of view has several implications; first, PQ symmetry breaking scales  $f_a \geq 10^{13}$  GeV are not a priori cosmologically unacceptable in models which inflate after or during PQ symmetry breaking. This fact is of particular significance to

superstring theories in which PQ symmetry breaking appears to occur at a scale of order  $10^{18}-10^{19}$  GeV.³° Second, isothermal axion perturbations whose amplitude we have calculated³° to be:  $\Delta_{\rm iso} = {\rm H/(2\pi^{3/2}f_{\rm gal}\theta_1)}$ , may be important for galaxy formation (if  $\Delta_{\rm iso} = 10^{-4}$ ), and are actually the dominant mode for Pi's model. Even for  $f_a = 10^{12}-10^{13}$  GeV and  $\theta_1$  ~1, isothermal axion perturbations may be important if reheating is slow and  ${\rm H/f_a} \ge 10^{-3}$ . In any case the isotropy of the microwave background restricts  $\Delta_{\rm iso}$  to be not too much larger than  $10^{-4}$  (ref. 29), and so our result represents yet another constraint on models of inflation.

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- $=\int_{\alpha}^{\infty} (\vec{x}') W_k(\vec{x}') d^3x' \text{ and } V_w = \int_{W_k}^{\infty} (\vec{x}') d^3x'. \text{ For reference, the axion mass contained in a sphere of radius } \lambda/2(\frac{\pi}{2\pi}/k) \text{ is:} 1.5\Omega_a h^2 \times 10^{11} M_{\Theta}(\lambda/\text{Mpc})^3, \text{ when } W_k \text{ is taken to be a step function.}$  Expanding  $W_k(\vec{x}')$  and  $\delta_a(\vec{x})$  in their Fourier components, it follows that  $\langle (\delta M_a/M_a)^2 \rangle = (2\pi)^{-3} \int_{0}^{3} d^3k' |\delta_a(k')|^2 |W_k(k')|^2 /V_w^2. \text{ For a simple window function like: } W_k(\vec{x}) = \exp(-k^2|x|^2/2), W_k(k') = (2\pi)^{3/2} k^{-3} \exp(-k'^2/2k^2) \text{ and } \langle (\delta M_a/M_a)^2 \rangle = (2\pi)^{-3} \int_{0}^{k} d^3k' |\delta_a(k')|^2 = (2\pi)^{3/2} k^{-3} (2\pi)^{-3} k^3 |\delta_a(k)|^2 = \Delta_k^2, \text{ where the approximation that the integral is dominated by the contribution of scales with <math>k' = k$  is valid so long as  $|\delta_a(k)|^2$  increases at least as fast as  $k^{-3}$ .
- 19. This is true for perturbations that re-enter the horizon during the radiation-dominated phase. Scales that re-enter the horizon during the more recent matter-dominated phase will have order unity corrections to  $\delta_{\theta}(\mathbf{k})$  as the axion number density perturbation turns into a pressure perturbation. Since scales of interest for galaxy formation re-enter the horizon during the radiation epoch we will not worry about these corrections here. For further discussion of this issue see, J. M. Bardeen, Phys. Rev. <u>D22</u>, 1882 (1980); or, W. Press and E. T. Vishniae, Astrophys. J. <u>239</u>, 1 (1980).
- 20. For a detailed discussion of inflation and reheating, see, P. J. Steinhardt and M. S. Turner, Phys. Rev. D29, 2162 (1984).
- 21. To be precise, for scales which re-enter the horizon while the Universe is still radiation-dominated ( $\lambda \le \lambda_{\rm eq} = 13 h^{-2} T_{2.7}^{-2}$  Mpc), the Fourier amplitudes of the acoustic wave in the baryon-photon fluid are given by:  $k^{3/2} |\delta_k|/(2\pi)^{3/2} = H^2/(\pi^{3/2}\psi)$ . Adiabatic perturbations in the axion (and other non-interacting components) will grow slowly ( $\alpha$  lnR) due to the velocity ( $\delta_a \ne 0$ ) they had when

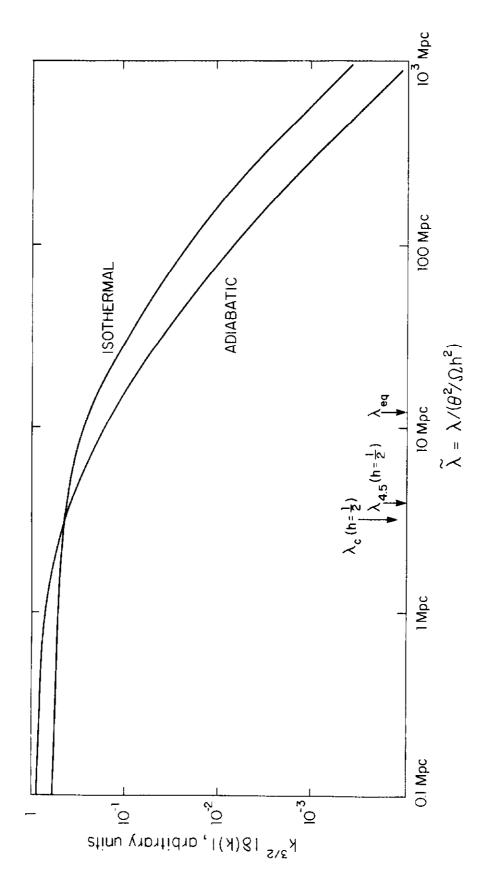
re-entering the horizon, and by the time the Universe becomes matter-dominated, their amplitude will be:  $k^{3/2} |\delta_a(k)|/(2\pi)^{3/2} \approx 2H^2/(\pi^{3/2}\psi)$ . Formation of the observed structure requires  $\Delta_{ad} \approx 10^{-4}$  on the scales of galaxies. Scales which enter the horizon when the Universe is matter-dominated, do so with Fourier amplitudes of:  $k^{3/2} |\delta_{\nu}|/(2\pi)^3 \approx (H^2/10)/(\pi^{3/2}\psi)$ .

- 22. Q. Shafi and A. Vilenkin, Phys. Rev. Lett. <u>52</u>, 691 (1984).
- 23. The original models of new inflation<sup>3</sup>, were also based upon potentials of the Coleman-Weinberg form. However, the scalar field responsible for inflation, was not a gauge singlet and the coefficient B was set by the gauge coupling (B =  $25\alpha_{\rm GUT}^2/4 = 10^{-2}$ ) and resulted in adiabatic perturbations of amplitude much greater than order unity.
- 24. J. Uson and D. Wilkinson, Astrophys. J. 277, L1 (1984).
- 25. D. Wilkinson, in <u>Proceedings of Inner Space/Outer Space</u>, eds. E. W. Kolb et al. (Univ. of Chicago Press, Chicago, 1985).
- 26. P. J. E. Peebles, Astrophys. J. 263, L1 (1982).
- 27. M. S. Turner, unpublished (1983); also, see J. M. Bardeen, unpublished (1984).
- 28. Angular scale φ and linear scale ℓ on the last scattering surface are related by: φ = 0.55' (ℓ/Mpc)h. For a detailed discussion of the predicted microwave anisotropies see: J. R. Bond and G. Efstathiou, Astrophys. J. 285, L44 (1984); N. Vittorio and J. Silk, Astrophys. J. 285, L39 (1984).
- 29. The predicted microwave anisotropies for the isothermal case are discussed in more detail in, J. R. Bond and G. Efstathiou, in preparation (1985).

- 30. E. Witten, Phys. Lett. <u>149B</u>, 351 (1985); K. Choi and J. E. Kim, Harvard preprint HUTP-85A013 (1985).
- 31. We note that if  $\Omega_{_{\hbox{\scriptsize a}}}<$  1, our formula for  $\Delta_{_{\hbox{\scriptsize iso}}}$  should be reduced proportionally.

## FIGURE CAPTION

Figure 1 - The spectrum of density perturbations  $k^{3/2}|\delta_a(k)|$  at the time of matter domination  $[t_{eq} = 3\times10^{10}(\Omega_ah^2/T_{2.7}^{3})^{-2}]$  sec;  $T_{eq} = 6.8(\Omega_ah^2/T_{2.7}^{3})$  eV] as a function of  $\tilde{\lambda} = \lambda(\Omega h^2/\theta^2)$  for adiabatic<sup>26</sup> and isothermal<sup>27</sup> axion perturbations. [Note, the spectra, up to an overall normalization, are only a function of  $\tilde{\lambda} = \lambda/\lambda_{eq}$ ; where  $\lambda_{eq} = 13h^{-2}T_{2.7}^{2}$ Mpc is the scale which is just entering the horizon when the Universe becomes matter-dominated.] The scales  $\lambda_c = 7h^{-1}$ Mpc and  $\lambda_{4.5} = 8.2h^{-1}$ Mpc are indicated for h=1/2, and the two spectra are normalized to the same value on the scale  $\lambda_c$ .



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